







Valley and pseudospin polarization in two-dimensional hexagonal lattice





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Ade Joris, Mildred Dressellaust, Bibliob Sala, and Gener J. Deconduate Raman Spectroscopy in Graphene Related Systems







フラーレン・ナノチューブ・ グラフェンの科学 ^{ナノカーボンの世界} 齋藤理一郎 [音] 須藤彰三 岡 真⁽¹⁾ 5



30 years of Nano Carbon Fullerene-Nanotube-Graphene



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And the second s

2004





1991





JAPAN MEXT grant "Science of Atomic Layers" ^{3/30}

http://flex.phys.tohoku.ac.jp/satl 2013.4 - 2018.3



Science of Atomic Layers New field, New industry

- **896** published papers from SATL, Japan *!!* Supply **2**D samples (h-BN, graphene, TMD)
- Continue Collaborations with you !!

"Spin" Angular momentum of circularly polarized light

	$\begin{pmatrix} 1 \end{pmatrix}$		
Polarization the Jones vector	$P_{+} \propto \begin{pmatrix} e^{+\frac{\pi}{2}i} \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ i \\ 0 \end{pmatrix}$	$P_{-} \propto \begin{pmatrix} 1 \\ e^{-\frac{\pi}{2}i} \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ -i \\ 0 \end{pmatrix}$	$P = \begin{pmatrix} a \\ b \\ 0 \end{pmatrix}$ $a, b \in \mathbb{R}$
Helicity σ ("spin" angular momentum)	$+\hbar$	-ħ	0







Circular polarized light →Linear combination of \vec{x} and \vec{y}



Left-handed (σ_+) Right-handed (σ_-)

3.

Optical phenomena by circularly polarized light - *Optical absorption is different* ! –



L. Circhular Dichroism (CD):

Different absorption for σ_+ and σ_- Left and right handed molecule (or CNT)

N. Sato et al., *Phys. Rev. B.* **95**, 155436 (2017)

. Valley Polarization (VP)

Different absorption at K and K' Different sign of Berry curvature at K and K' X. Xu et al. *Nat. Phys.* **10** 343 (2014) K. Ghalamkari et al, *J. Phys. Soc. Jpn.*, **87**, 063708 (2018) Pseudo-spin Polarization (PP) Wavefunction coefficients for A and B atoms

inversion of conduction and valence bands

K. Sasaki and RS, *Prog. Theor. Phys. Suppl.* **176**, 253, (2008).

$$\tan \theta = \frac{I(\sigma_+) - I(\sigma_-)}{I(\sigma_+) + I(\sigma_-)}$$

$$\tan V = \frac{I_{\sigma}(K) - I_{\sigma}(K')}{I_{\sigma}(K) + I_{\sigma}(K')}$$
$$(\sigma = \sigma_{+}, \sigma_{-})$$
$$\tan p = \frac{|C_{A}^{d}|^{2} - |C_{B}^{d}|^{2}}{|C_{A}^{d}|^{2} + |C_{B}^{d}|^{2}}$$
$$(d = v, c)$$



 $2/d_t$

2π/

CD: circular dichroism of CNT N. Sato, Y. Tatsumi, RS, *Phys. Rev. B*. **95**, 155436 (2017)

Angular momentum L is transferred from a photon to CNT

- $\sigma_+ \ (\triangle \ L = 1)$
- $\sigma_{-} (\Delta L = -1)$

For a given initial state, final states are different!

Circumferential wavevector is quantized. Cutting lines of nanotubes in k space



We can separate L-CNT and R-CNT !!





L-CNT R-CNT (n,m)(m,n)Chirality ⇔ CD



Δ:Difference of on-site energy of A and B atoms When we change Δ, VP and PP occurs. Y. Tatsumi et al, *Phys. Rev. B*, **94**, 235408 (2016)



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Left-handed (σ_+) Right-handed (σ_-)



TMD: transition metal dichalcogenides

Question

 Δ :Difference of on-site energy of A & B





Are the VP and PP change continuously at Δ=0?
No, there is a *discontinuous* change at Δ=0.



• Do VP and PP behave simultaneously for $\Delta \neq 0$?

• Not always, \Rightarrow the case of Haldane model.





Relevant
 Fundamental for optical properties of 2D materials
 CD, Raman, PL, excitons, etc
 Topological properties of 2D materials

- Inversion of energy bands (spin-orbit interaction)
- Edge states, Berry phase, Quantum Hall effect etc.
- Symmetry
 - mirror, inversion, time-reversal, charge conjugation



Raman scattering by circularly polarized light ^{10/30} Y. Tatsumi et al, *Phys. Rev. B*, **97**, 195444 (2018) & 115407 (2018)



Conservation law of Angular momentum

Symmetries and Optical properties

- Broken Mirror symmetry (left-handed and right-handed)
 - CD (circular dichroism) occurs
- Broken Inversion symmetry (A and B atoms)
 - VP (valley polarization) occurs
 - PP (pseudo-spin polarization) occurs, edge states
- Spin-orbit interaction
 - Inversion of energy bands (topological insulator)
 - Inversion of VP and PP occurs
- Broken time-reversal symmetry (K and K' points)
 - Large CD (circular dichroism) occurs

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Inversion of energy bands

Outline (Let's discuss CD, VP, PP)

- 1. Change Δ (Graphene, h-BN, silicene, Bi)
 - Discontinuous change of VP and PP at $\Delta = 0$
 - VP and PP behave similarly

- 2. the Haldane model (lack of time-reversal symmetry)
 - Perfect CD occurs
 - VP and PP behave independently!

CD: circular dichroism, VP: valley polarization, PP: pseudo-spin polarization

Changing Δ (Graphene, h-BN)

Difference of on-site energy between of A and B atoms

 $\circ -\Delta/2 \circ \Delta/2$

14/30 $w(\mathbf{k}) = |f(\mathbf{k})|$ Graphene ($\Delta = 0$) and h-BN ($\Delta \neq 0$) $\circ \Delta/2 \circ - \Delta/2$ Hamiltonian Wave function $H = \begin{pmatrix} \Delta/2 & tf(\mathbf{k}) \\ tf(\mathbf{k})^* & -\Delta/2 \end{pmatrix} \qquad H\mathbf{C} = E\mathbf{C}$ $\boldsymbol{C}(\boldsymbol{k}) = \begin{pmatrix} \boldsymbol{C}_A(\boldsymbol{k}) \\ \boldsymbol{C}_B(\boldsymbol{k}) \end{pmatrix}$ Conduction band Valence band Energy $E^{c}(\mathbf{k}) = \sqrt{\frac{\Delta^{2}}{4} + t^{2}w(\mathbf{k})^{2}}$ $E^{v}(\mathbf{k}) = -\sqrt{\frac{\Delta^{2}}{4} + t^{2}w(\mathbf{k})^{2}}$ PP at K, K' $C^{c}(\mathbf{K}) = C^{c}(\mathbf{K}') = \begin{pmatrix} 1\\ 0 \end{pmatrix}$ $C^{v}(\mathbf{K}) = C^{v}(\mathbf{K}') = \begin{pmatrix} 0\\ 1 \end{pmatrix}$ y **Conduction band** PP at K, K' does not depend on Δ $\tan p = \frac{|C_A^a|^2 - |C_B^a|^2}{|C_A^d|^2 + |C_B^d|^2}$ Wavefunction E $\lambda = c \text{ or } v$ s = A or B $\Psi^{\lambda}(\boldsymbol{k},\boldsymbol{r}) = C_{A}^{\lambda}(\boldsymbol{k})\Phi_{A}(\boldsymbol{k},\boldsymbol{r}) + C_{B}^{\lambda}(\boldsymbol{k})\Phi_{B}(\boldsymbol{k},\boldsymbol{r})$ *N* # of unit cell $\Phi_{s}(\boldsymbol{k},\boldsymbol{r}) = \frac{1}{\sqrt{N}} \sum_{\boldsymbol{R}_{s}} e^{i\boldsymbol{k}\cdot\boldsymbol{R}_{s}} \underbrace{\varphi_{s}(\boldsymbol{r}-\boldsymbol{R}_{s})}_{\text{atomic orbital}}$ Valence band *R*_{*s*} position of atom k_{x} k_{v}

Optical absorption

Hamiltonian

$$\mathcal{H}_{opt} = \frac{i\hbar e}{m} A \cdot \nabla = \frac{\hbar e}{\omega m} \sqrt{\frac{l}{c\epsilon_0}} e^{i(k_L \cdot r - \omega t)} e_p \cdot \nabla$$
Transition probability

$$W_{i \to f} \propto \left| e_{p\sigma} \cdot D(k) \right|^2$$
Polarization vector

$$W_{i \to f} \propto \left| e_{p\sigma} \cdot D(k) \right|^2$$
Prime and the sector of the sector

Dipole vector of graphene $\langle \varphi_B(\boldsymbol{r} - \boldsymbol{R}_j) | \boldsymbol{\nabla} | \varphi_A(\boldsymbol{r}) \rangle = -\frac{\sqrt{3}}{a} m_{opt} \boldsymbol{R}_j$ A. Gruneis et al. *Phys. Rev.* **B** 67, 165402 (2003)

 $D_{i \to f}(\mathbf{k})$ is a Real vector !!

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$$D_{i \to f}(\mathbf{k}) = -\frac{\sqrt{3}}{a} m_{opt} 2 \operatorname{Re} \left[C_{B}^{c}(\mathbf{k})^{*} C_{A}^{v}(\mathbf{k}) \sum_{j=1}^{3} e^{-i\mathbf{k} \cdot \mathbf{R}_{j}} \mathbf{R}_{j} \right]$$

$$D_{i \to f}(\mathbf{k}) = \begin{pmatrix} D_{x}(\mathbf{k}) \\ D_{y}(\mathbf{k}) \end{pmatrix} \quad D_{x}, D_{y} \in \mathbb{R}$$

$$W_{i \to f} \propto |\mathbf{e}_{p\sigma} \cdot \mathbf{D}(\mathbf{k})|^{2} = \left| \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ \sigma i \end{pmatrix} \cdot \begin{pmatrix} D_{x} \\ D_{y} \end{pmatrix} \right|^{2} = \frac{1}{\sqrt{2}} |D_{x} - i\sigma D_{y}|^{2}$$

$$e_{p\sigma} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ \sigma i \end{pmatrix} = \frac{1}{\sqrt{2}} (D_{x}^{2} + \sigma^{2} D_{y}^{2}) = \frac{1}{\sqrt{2}} (D_{x}^{2} + D_{y}^{2})$$

$$\sigma = \begin{cases} +1 (\sigma_{+}) \\ -1 (\sigma_{-}) \end{cases}$$
Graphene: No CD, No VP, No PP

Changing Δ (silicene)

Silicene: transition from topological to band-gap insulator K. Ghalamkari *et al., J. Phys. Soc. Jpn.* **87**, 024710 (2018)

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Pseudospin Polarization (PP) of silicene

Changing ∆ (XY anisotropic 2D material) Black P, GaTe, Bismuthene

Large spin-orbit interaction XY Anisotropic buckled structure

Pseudo Spin is now defined between Upper layer and lower layer

VP and PP inversion simultaneously occur for Bi

Graphene: No VP and No PP h-BN: VP and PP exists for $\Delta \neq 0$ Silicene: VP and PP change the sign at $\frac{\Delta}{2} = \lambda_{so}$ XY: VP and PP change the sign for X and Y all Hamiltonian's have time-reversal symmetry

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Question: Are VP and PP independent? Any interaction?

VP and PP behaves similarly !!

Changing Δ (=M) (Haldane model)

M Notation by Haldane

Nearest neighbor: t_1

Next nearest neightbor: t_2

$$b_1, b_2, b_3 e^{i\phi}t_2$$

 $-b_1, -b_2, -b_3 e^{-i\phi}t_2$

Phase by local magnetic field

Yes! VP and PP are independent

K. Ghalamkari *et al.,* J. Phys. Soc. Jpn. 87, 063708 (2018)

- Broken time-reversal symmetry ($\phi \neq 0$)
- Haldane's model $(M = \Delta)$
 - Center: $M = \phi = 0 \rightarrow \text{graphene}$
 - $\mathcal{A}, \mathcal{B}: M \neq 0, \phi = 0 \rightarrow h-BN$
 - C, $\mathcal{D}: M = 0, \phi \neq 0 \rightarrow \text{quantum Hall}$

$$H = \begin{pmatrix} A_0 + A_3 & A_1 - iA_2 \\ A_1 + iA_2 & A_0 - A_3 \end{pmatrix}$$

$$A_0 = 2t_2 \cos \phi \sum_{j=1}^3 \cos(k \cdot b_j), \quad A_1 = t_1 \sum_{j=1}^3 \cos(k \cdot a_j),$$

$$A_2 = t_1 \sum_{j=1} \sin(k \cdot a_j), \quad A_3 = -2t_2 \sin \phi \sum_{j=1} \sin(k \cdot b_j) + M,$$

 $\tan V = \frac{I_{\sigma}(K) - I_{\sigma}(K')}{I_{\sigma}(K) + I_{\sigma}(K')}$

 $\tan p = \frac{|C_A^d|^2 - |C_B^d|^2}{|C_B^d|^2 + |C_B^d|^2}$

Perfect CD occurs!

For Region C, \mathcal{D} (M=0, $\phi \neq 0$) No VP, Opposite PP for K and K' Perfect CD (tan $\theta = 1$)						
absorb o	only σ_+	$\tan \theta$	$=\frac{I(\sigma_+)}{I(\sigma_+)}$	$\frac{-I(\sigma_{-})}{+I(\sigma_{-})}$		
Region	\mathcal{A}	${\mathcal B}$	\mathcal{C}	\mathcal{D}		
P (tan V)	+1	-1	0	0		
CD $(\tan \theta)$	0	0	$\overline{-1}$	+1		
P-c(K, K') (tan p)	(+1, +1)	(-1, -1)	(+1, -1)	(-1, +1)		
P-v(K, K') (tan p)	(-1, -1)	(+1, +1)	(-1, +1)	(+1, -1)		
σ_{xy}	0	0	+1	-1		

Take Home messages

-We can predict the following optical phenomena !

- Graphene
 - Helicity change Raman for G-band. (Bending \Rightarrow CD, edge \Rightarrow PP).
- Silicene (from topological to band-gap insulator)
 - VP and PP changes sign by applying electric field at $E_z = 17 \text{ meV/\AA}$
- TMDs
 - Helicity change in Raman can be observed (even applying the strain).
- XY anisotropic 2D materials (Bi, GaTe …)
 - Semi metallic phase appear by applying electric field around 100meV/Å
- Haldane material (α -(BEDTTTF)₂I₃ . see JPSJ **86**, 123702 (2017))
 - Perfect CD can be observed. Sign change of Berry curvature

Note: Green colored statements are not discussed in this presentation.